

General information about company	
Scrip code	540975
NSE Symbol	ASTERDM
MSEI Symbol	NOTLISTED
ISIN	INE914M01019
Name of the entity	Aster DM Healthcare Limited
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Yearly
Date of Report	31-03-2020
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

Yes

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg-17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	MANDAYAPURATH AZAD MOOPEN	AEHPM4094B	00159403	Executive Director	Chairperson	MD	15-04-1953	NA		01-12-2014	01-12-2019		1	0	0	0			
2	Mr	SURESH MUTHUKRISHNA KUMAR	AAGPM9061M	00494479	Non-Executive - Independent Director	Not Applicable		08-07-1950	NA		16-09-2015			54	2	2	3	3		
3	Mr	DANIEL ROBERT MINTZ	EYOPM5714H	00960928	Non-Executive - Non Independent Director	Not Applicable		06-09-1961	NA		18-01-2012			1	0	0	0			
4	Mr	SHAMSUDHEEN BIN MOHIDEEN MAMMU HAJI	AKLPM9412H	02007279	Non-Executive - Non Independent Director	Not Applicable		26-05-1963	NA		16-09-2015			1	0	0	0			

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	THADATHIL JOSEPH WILSON	AAGPW9887P	02135108	Non-Executive - Non Independent Director	Not Applicable		14-05-1961	NA		20-04-2009				1	0	2	0		
6	Mr	DANIEL JAMES SNYDER	GNFPS4345A	02298099	Non-Executive - Independent Director	Not Applicable		31-12-1954	NA		21-04-2015			59	1	1	1	0		
7	Mr	ANOOP MOOPEN	AUXPA0507B	02301362	Non-Executive - Non Independent Director	Not Applicable		17-12-1976	NA		20-04-2009				1	0	1	0		
8	Ms	ALISHA MOOPEN	AVHPA7721J	02432525	Executive Director	Not Applicable		11-07-1981	NA		07-08-2019				1	0	1	0		

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
9	Mr	MANIEDATH MADHAVAN NAMBIAR	AAAPN9333K	01122411	Non-Executive - Independent Director	Not Applicable		09-11-1950	NA		21-04-2015		11-02-2020	58	1	1	2	1		
10	Mr	RAVI PRASAD	ACZPP0606N	07022310	Non-Executive - Independent Director	Not Applicable		26-10-1960	NA		21-04-2015			59	1	1	1	0		
11	Mr	BIJU VARKKEY	ADKPV2319N	01298281	Non-Executive - Independent Director	Not Applicable		22-12-1965	NA		12-11-2018			17	3	2	1	0		
12	Ms	LAYLA MOHAMED HASSAN ALI ALMARZOOQI	ZZZZ9999Z	08401425	Non-Executive - Independent Director	Not Applicable		08-06-1974	NA		28-03-2019			12	1	1	1	0	Textual Information(1)	

Text Block

Textual Information(1)

Ms.Layla Mohamed Hassan Ali Almarzooqi is an NRI and hence her PAN is not available.

Audit Committee Details							
Sr	DIN Number	Name of Committee members	Whether the Audit Committee has a Regular Chairperson		Date of Appointment	Date of Cessation	Remarks
			Category 1 of directors	Category 2 of directors			
1	00494479	SURESH MUTHUKRISHNA KUMAR	Non-Executive - Independent Director	Chairperson	18-05-2016		
2	08401425	LAYLA MOHAMED HASSAN ALI ALMARZOOQI	Non-Executive - Independent Director	Member	28-05-2019		
3	02432525	ALISHA MOOPEN	Executive Director	Member	28-05-2019		
4	01122411	MANIEDATH MADHAVAN NAMBIAR	Non-Executive - Independent Director	Member	21-04-2015	11-02-2020	
5	07022310	RAVI PRASAD	Non-Executive - Independent Director	Member	21-04-2015		
6	02135108	THADATHIL JOSEPH WILSON	Non-Executive - Non Independent Director	Member	21-04-2015		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	01298281	BIJU VARKEY	Non-Executive - Independent Director	Chairperson	13-02-2019		
2	00960928	DANIEL ROBERT MINTZ	Non-Executive - Non Independent Director	Member	22-11-2016		
3	00159403	MANDAYAPURATH AZAD MOOPEN	Executive Director	Member	28-05-2019		
4	07022310	RAVI PRASAD	Non-Executive - Independent Director	Member	28-05-2019		
5	02298099	DANIEL JAMES SNYDER	Non-Executive - Independent Director	Member	21-04-2015		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00494479	SURESH MUTHUKRISHNA KUMAR	Non-Executive - Independent Director	Chairperson	14-08-2018		
2	02301362	ANOOP MOOPEN	Non-Executive - Non Independent Director	Member	21-04-2015		
3	02298099	DANIEL JAMES SNYDER	Non-Executive - Independent Director	Member	28-05-2019		
4	02135108	THADATHIL JOSEPH WILSON	Non-Executive - Non Independent Director	Member	21-04-2015		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07022310	RAVI PRASAD	Non-Executive - Independent Director	Chairperson	28-05-2019		Textual Information(1)
2	00960928	DANIEL ROBERT MINTZ	Non-Executive - Non Independent Director	Member	28-05-2019		
3	02432525	ALISHA MOOPEN	Executive Director	Member	28-05-2019		
4	01122411	MANIEDATH MADHAVAN NAMBIAR	Non-Executive - Independent Director	Chairperson	28-05-2019	11-02-2020	
5	01298281	BIJU VARKEY	Non-Executive - Independent Director	Member	28-05-2019		
6	02135108	THADATHIL JOSEPH WILSON	Non-Executive - Non Independent Director	Member	28-05-2019		

Sr Text Block	
Textual Information(1)	Appointed as Chairperson of the Committee with effect from February 11, 2020 pursuant to resignation of Mr. Maniedath Madhavan Nambiar

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00159403	MANDAYAPURATH AZAD MOOPEN	Executive Director	Chairperson	21-04-2015		
2	02007279	SHAMSUDHEEN BIN MOHIDEEN MAMMU HAJI	Non-Executive - Non Independent Director	Member	28-05-2019		
3	08401425	LAYLA MOHAMED HASSAN ALI ALMARZOOQI	Non-Executive - Independent Director	Member	28-05-2019		
4	02301362	ANOOP MOOPEN	Non-Executive - Non Independent Director	Member	28-05-2019		
5	01122411	MANIEDATH MADHAVAN NAMBIAR	Non-Executive - Independent Director	Member	21-04-2015	11-02-2020	
6	01298281	BIJU VARKEY	Non-Executive - Independent Director	Member	13-02-2019		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	00159403	MANDAYAPURATH AZAD MOOPEN	Medical Excellence Committee	Executive Director	Chairperson	
2	08401425	LAYLA MOHAMED HASSAN ALI ALMARZOOQI	Medical Excellence Committee	Non-Executive - Independent Director	Member	
3	02432525	ALISHA MOOPEN	Medical Excellence Committee	Executive Director	Member	
4	00159403	MANDAYAPURATH AZAD MOOPEN	Investment Committee	Executive Director	Chairperson	
5	00494479	SURESH MUTHUKRISHNA KUMAR	Investment Committee	Non-Executive - Independent Director	Member	
6	99999999	SREENATH POCHA REDDY	Investment Committee	Member	Member	

Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	12-11-2019				Yes	12	6
2		09-01-2020	57		Yes	10	5
3		11-02-2020	32		Yes	12	6

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	11-11-2019				Yes	6	4
2	Audit Committee	09-01-2020	58			Yes	5	3
3	Audit Committee	10-02-2020	31			Yes	6	4
4	Audit Committee	31-03-2020	49			Yes	5	3
5	Nomination and remuneration committee	11-11-2019				Yes	4	3
6	Nomination and remuneration committee	10-02-2020	90			Yes	4	3

Annexure 1

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
7	Stakeholders Relationship Committee	11-11-2019				Yes	4	2
8	Stakeholders Relationship Committee	11-02-2020	91			Yes	4	2
9	Risk Management Committee	10-02-2020				Yes	5	3

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Puja Aggarwal
2	Designation	Company Secretary and Compliance Officer

Annexure II**Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)****I. Disclosure on website in terms of Listing Regulations**

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.asterdmhealthcare.com/investors/
2	Terms and conditions of appointment of independent directors	Yes		www.asterdmhealthcare.com/investors/
3	Composition of various committees of board of directors	Yes		www.asterdmhealthcare.com/investors/
4	Code of conduct of board of directors and senior management personnel	Yes		www.asterdmhealthcare.com/investors/
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.asterdmhealthcare.com/investors/
6	Criteria of making payments to non-executive directors	Yes		www.asterdmhealthcare.com/investors/
7	Policy on dealing with related party transactions	Yes		www.asterdmhealthcare.com/investors/
8	Policy for determining 'material' subsidiaries	Yes		www.asterdmhealthcare.com/investors/
9	Details of familiarization programmes imparted to independent directors	Yes		www.asterdmhealthcare.com/investors/

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.asterdmhealthcare.com/investors/
11	email address for grievance redressal and other relevant details	Yes		www.asterdmhealthcare.com/investors/
12	Financial results	Yes		www.asterdmhealthcare.com/investors/
13	Shareholding pattern	Yes		www.asterdmhealthcare.com/investors/
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	Yes		www.asterdmhealthcare.com/investors/
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		www.asterdmhealthcare.com/investors/
18	Credit rating or revision in credit rating obtained	Yes		www.asterdmhealthcare.com/investors/
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		www.asterdmhealthcare.com/investors/
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.asterdmhealthcare.com/investors/
21	Materiality Policy as per Regulation 30	Yes		www.asterdmhealthcare.com/investors/
22	Dividend Distribution policy as per Regulation 43A (as applicable)	Yes		www.asterdmhealthcare.com/investors/
23	It is certified that these contents on the website of the listed entity are correct	Yes		www.asterdmhealthcare.com/investors/

Annexure II**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	

Annexure II**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
23	Meeting of Risk Management Committee	21(3A)	Yes	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	NA	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	

Annexure II**II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	Yes	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	Yes	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

Annexure II

1	Name of signatory	Puja Aggarwal
2	Designation	Company Secretary and Compliance Officer

Annexure II

III. Affirmations

Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

Annexure II

1	Name of signatory	Puja Aggarwal
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	Puja Aggarwal
Designation of person	Company Secretary and Compliance Officer
Place	Bengaluru
Date	14-04-2020

